

Recovery Technology Annual Corporate Compliance Report 2022

Recovery Technology Corporate Compliance goals:

1. Ensure that Recovery Technology complies with all federal and state statutes.
2. Ensure claims for services rendered are submitted accurately and timely.
3. Ensure agency practices protect against fraud, abuse and waste.
4. Ensure corporate leadership maintains up-to-date knowledge of law and standards applicable to Recovery Technology's scope of practice.
5. Ensure corporate leadership maintains up-to-date knowledge of corporate performance under this plan.
6. Ensure that services provided to Recovery Technology's consumers are reasonable and necessary.
7. Ensure that documentation of services provided to Recovery Technology consumers is timely, accurate and complete.
8. Guard against employees and contract workers providing or accepting improper inducements, kickbacks and/or self-referrals.
9. Guard against employees and contract workers behaving in an unethical manner.

Outcomes for 2022:

In 2022 Recovery Technology program directors continued to conduct internal clinical audits on within individual departments. Results of these audits are given to clinicians to review. Copies of these audits are also given to Recovery Technology's CEO for review. Any trends were brought to the QI team and staff meetings so that employees could be made aware the need to adjust practices to resolve errors.

There were no formal, internal corporate compliance complaints made within Recovery Technology during this year. However, Recovery Technology did receive a report of suspected non-compliance from LifeWays related to a consumer who was not discharged in a timely manner. Recovery Technology has begun to run regular

reports on clients who have not been seen recently to identify possible inactive cases that may need to be addressed. Results of these reports are provided to the department supervisors as well as the clinicians assigned to the inactive clients.

Monitoring of HHS-OIG's list of excluded individuals and entities was continued on a monthly basis for employees, medical staff and contractors. Professional license verification was continued on an annual basis. Criminal history checks through ICHAT were continued on an annual basis as well. No concerns were found in this area during 2021.

All current staff at Recovery Technology continue receive training on corporate compliance annually and as necessitated by audit results. All new employees were trained on policies and procedures related to corporate compliance upon hire. The corporate compliance officer attended regular corporate compliance collaborative meetings with Lifeways staff and providers throughout 2022.