

**Recovery Technology Annual Corporate Compliance Report 2021**

### **Recovery Technology Corporate Compliance goals:**

1. Ensure that Recovery Technology complies with all federal and state statutes.
2. Ensure claims for services rendered are submitted accurately and timely.
3. Ensure agency practices protect against fraud, abuse and waste.
4. Ensure corporate leadership maintains up-to-date knowledge of law and standards applicable to Recovery Technology's scope of practice.
5. Ensure corporate leadership maintains up-to-date knowledge of corporate performance under this plan.
6. Ensure that services provided to Recovery Technology's consumers are reasonable and necessary.
7. Ensure that documentation of services provided to Recovery Technology consumers is timely, accurate and complete.
8. Guard against employees and contract workers providing or accepting improper inducements, kickbacks and/or self-referrals.
9. Guard against employees and contract workers behaving in an unethical manner.

### **Outcomes for 2021:**

In April 2021, Recovery Technology underwent its CARF accreditation survey. The survey assessed Recovery Technology's compliance with CARF standards and included several programs/populations: ACT, case management/supports coordination with adults, case management/supports coordination with children and adolescents, outpatient mental health treatment of adults and outpatient mental health treatment of children and adolescents.

The CARF survey resulted in a full, three-year accreditation. Recommendations made by the surveyors were incorporated into Recovery Technology's Quality

Improvement Plan and the agency is working to improve in areas suggested by the reviewers.

In September 2021, the Michigan Fidelity Assistance Support Team (MIFAST) conducted a review of Recovery Technology's ACT and IDDT programs. This review examined the degree to which these programs aligned with the evidence based models they are constructed on.

As of January 2022, the results of the review were not yet finalized by the review team. However, no immediate concerns were raised about the programs in question.

In October 2021 LifeWays Community Mental Health corporate compliance office completed an audit of case management services which included a sample of case management client charts from several providers. The results of the audit were reviewed with the corporate compliance officer and CEO and areas for improvement were presented to staff during staff meetings/trainings. Program directors will also monitor for drift during regular clinical file reviews.

In 2021 Recovery Technology program directors continued to conduct internal clinical audits on within individual departments. Results of these audits are given to clinicians to review. Copies of these audits are also given to Recovery Technology's CEO for review. Any trends were brought to the QI team and staff meetings so that employees could be made aware the need to adjust practices to resolve errors.

There were no formal, internal corporate compliance complaints made within Recovery Technology during this year.

Monitoring of HHS-OIG's list of excluded individuals and entities was continued on a monthly basis for employees, medical staff and contractors. Professional license verification was continued on an annual basis. Criminal history checks through ICHAT were continued on an annual basis as well. No concerns were found in this area during 2021.

All current staff at Recovery Technology continue receive training on corporate compliance annually and as necessitated by audit results. All new employees were trained on policies and procedures related to corporate compliance upon hire.