

Recovery Technology Annual Corporate Compliance Report 2019

Recovery Technology Corporate Compliance goals:

1. Ensure that Recovery Technology complies with all federal and state statutes.
2. Ensure claims for services rendered are submitted accurately and timely.
3. Ensure agency practices protect against fraud, abuse and waste.
4. Ensure corporate leadership maintains up-to-date knowledge of law and standards applicable to Recovery Technology's scope of practice.
5. Ensure corporate leadership maintains up-to-date knowledge of corporate performance under this plan.
6. Ensure that services provided to Recovery Technology's consumers are reasonable and necessary.
7. Ensure that documentation of services provided to Recovery Technology consumers is timely, accurate and complete.
8. Guard against employees and contract workers providing or accepting improper inducements, kickbacks and/or self-referrals.
9. Guard against employees and contract workers behaving in an unethical manner.

Outcomes for 2019:

In November, 2019, Lifeways Community Mental Health conducted a re-credentialing audit of Recovery Technology's services. This audit examined whether Recovery Technology continues to meet criteria to maintain its status as a provider for Lifeways Community Mental Health Clients. As of January, 2020 results of the audit have not been released to Recovery Technology. However, at the conclusion of the audit process, the individual who completed the audit did not voice any significant concerns.

In December, 2019, a representative from Altarum Institute conducted a security risk analysis at Recovery Technology. This analysis was conducted as a part of

Recovery Technology's attestation for Meaningful Use. As of January 2020, results and recommendation of this analysis have not yet been compiled and released to Recovery Technology.

In 2019 Recovery Technology program directors continued to conduct internal clinical audits on within individual departments. Results of these audits are given to clinicians to review. Copies of these audits are also given to Recovery Technology's CEO for review. Any trends were brought to the QI team and staff meetings so that employees could be made aware the need to adjust practices to resolve errors.

Recovery Technology did not receive any corporate compliance violations from Lifeways CMH in 2019. There were no formal, internal corporate compliance complaints made within Recovery Technology during this year.

Monitoring of HHS-OIG's list of excluded individuals and entities was continued on a monthly basis for employees, medical staff and contractors. Professional license verification was continued on an annual basis. Criminal history checks through ICHAT were continued on an annual basis as well. No concerns were found in this area during 2019.

All current staff at Recovery Technology continue receive training on corporate compliance annually and as necessitated by audit results. All new employees were trained on policies and procedures related to corporate compliance upon hire.